

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

DeAddio et al.

**Serial No.:** 09/127,341

**For:**

OBJECT ORIENTED  
SYSTEM FOR MANAGING  
COMPLEX FINANCIAL  
INSTRUMENTS

**Confirmation No.:** 9998

**Group Art Unit:** 3696

**Examiner:**

Felten Sanieel S.

**Atty Docket No.:**

11021-0005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT PURSUANT TO 37 CFR 1.312**

This paper incorporates Applicant's comments with regard to the Examiner's amendment dated August 24, 2009. Applicant is also submitting herewith a substitute specification, to incorporate all specification amendments made during the course of prosecution and to make additional typographical corrections.

This paper is being submitted in connection with payment of the issue fee.

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** begin on page 3 of this paper.

**Remarks/Arguments** begin on page 7 of this paper.